



SR 2876/5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST TO CORRECT INVENTORSHIP

APPLICANT: Georg FRÖHLICH et al GROUP ART UNIT: 2876
SERIAL NO.: 10/507,406 EXAMINER: T. T. Mai
FILED: April 8, 2005 CONFIRMATION NO.: 6881
TITLE: "METHOD, DEVICE SYSTEMS AND COMPUTER PROGRAMS
FOR PRODUCING AND TRACING PRINTED DOCUMENTS
WITH A DETERMINED IDENTIFIER"

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

S I R:

Applicants respectfully request to correct the inventorship pursuant to 37 C.F.R. §1.48(a) whereby a fourth inventor is added – namely Robert Ahammer. Enclosed is a Statement from Robert Ahammer being added as an inventor stating that the error in inventorship occurred without deceptive intention on his part. Also attached is a Declaration by all four inventors. The processing fee set forth in Section 1.17(i) in the amount of \$130.00 is enclosed. *The Examiner is authorized to charge our Deposit Account No. 501519 any additional charges that may be required. A duplicate copy of this sheet is also enclosed.* Also, since an Assignment

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was executed by the original named inventors, the written consent of the Assignee is also enclosed with a copy of the recorded original Assignment.

Submitted by,

(Reg. 27,841)

SCHIFF, HARDIN LLP
CUSTOMER NO. 26574

Patent Department
6600 Sears Tower
233 South Wacker Drive
Chicago, Illinois 60606
Telephone: 312/258-5786
Attorneys for Applicants.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on JULY 7, 2006

BRETT A. VALIQUET

CH1\4585878.1

**COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY**ATTORNEY'S
DOCKET NUMBER
P04,0353

(Does Reference to PCT International Applications)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint
inventor (if plural, names are listed below) of the subject matter which is claimed and for which a patent is
sought on the invention entitled:

**"METHOD, DEVICE SYSTEMS AND COMPUTER PROGRAMS FOR PRODUCING AND TRACING PRINTED
DOCUMENTS WITH A DETERMINED IDENTIFIER"**

the specification of which (check only one item below):

☐ is attached hereto.☒ was filed as United States application
Serial No: 10/507,406on April 8, 2005

and was amended

on _____ (if applicable).

☒ was filed as PCT international applicationNumber PCT/EP03/02642On March 13, 2003

and was amended under PCT Article 19

on June 5, 2006 (if applicable).

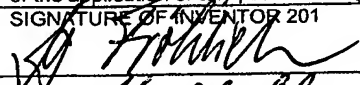
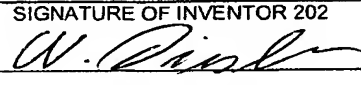
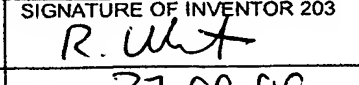
I hereby state that I have reviewed and understand the contents of the above-identified specification, including
the claims, as amended by any amendment referred to above.

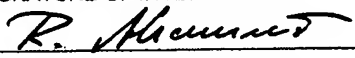
I acknowledge the duty to disclose information which is material to the examination of this application in
accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for
patent or inventor's certificate or of any PCT international application(s) designating at least one country other
than the United States of America listed below and have also identified below any foreign application(s) for
patent or inventor's certificate or any PCT international application(s) designating at least one country other
than the United States of America filed by me on the same subject matter having a filing date before that of the
application(s) of which priority is claimed:

PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

COUNTRY (if PCT indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
Germany	102 11 080.8	March 13, 2002	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
			<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

Combined Declaration For Patent Application and Power of Attorney (Continued) (Includes Reference to PCT International Applications)				ATTORNEY'S DOCKET NO. P04,0353	
I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:					
PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:					
U.S. APPLICATIONS				STATUS (Check one)	
U.S. APPLICATION NUMBER	U.S. FILING DATE		PATENTED	PENDING	ABANDONED
PCT APPLICATIONS DESIGNATING THE U.S.					
PCT APPLICATION NO	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)			
POWER OF ATTORNEY: As a named inventor, I hereby appoint all Attorneys identified by United States Patent & Trademark Office Customer Number 26574, who are all members of the Firm Schiff Hardin LLP.					
Send Correspondence to: <div style="text-align: center; margin-top: 10px;"> SCHIFF HARDIN LLP Patent Department 6600 Sears Tower, Chicago, Illinois 60606-6473 </div>				Direct Telephone Calls to: Brett A. Valiquet (312) 258-5786	
2 0 1	FULL NAME OF INVENTOR	FAMILY NAME FRÖHLICH	FIRST GIVEN NAME Georg	SECOND GIVEN NAME	
	RESIDENCE & CITIZENSHIP	CITY Ottobrunn	STATE OR FOREIGN COUNTRY Germany	COUNTRY OF CITIZENSHIP Germany	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS Rosenheimer Landstrasse 125b	CITY 85521 Ottobrunn	STATE & ZIP CODE/COUNTRY Germany	
2 0 2	FULL NAME OF INVENTOR	FAMILY NAME DIESLER	FIRST GIVEN NAME Wilfried	SECOND GIVEN NAME	
	RESIDENCE & CITIZENSHIP	CITY Gauting	STATE OR FOREIGN COUNTRY Germany	COUNTRY OF CITIZENSHIP Germany	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS Römerstrasse 29	CITY 82131 Gauting	STATE & ZIP CODE/COUNTRY Germany	
2 0 3	FULL NAME OF INVENTOR	FAMILY NAME UBERT	FIRST GIVEN NAME Ralf	SECOND GIVEN NAME	
	RESIDENCE & CITIZENSHIP	CITY Erding	STATE OR FOREIGN COUNTRY Germany	STATE & ZIP CODE/COUNTRY Germany	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS Zur Pointnermühle 3a	CITY 85435 Erding	STATE & ZIP CODE/COUNTRY Germany	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.					
SIGNATURE OF INVENTOR 201		SIGNATURE OF INVENTOR 202		SIGNATURE OF INVENTOR 203	
					
DATE 16.06.06		DATE 09.06.06		DATE 27.06.06	

Combined Declaration For Patent Application and Power of Attorney (Continued) (Includes Reference to PCT International Applications)				ATTORNEY'S DOCKET NO. P04,0353	
<p>I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:</p>					
PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:					
U.S. APPLICATIONS			STATUS (Check one)		
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED	
PCT APPLICATIONS DESIGNATING THE U.S.					
PCT APPLICATION NO	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)			
<p>POWER OF ATTORNEY: As a named inventor, I hereby appoint all Attorneys identified by United States Patent & Trademark Office Customer Number 26574, who are all members of the Firm Schilff Hardin & Waite.</p>					
Send Correspondence to:			<p style="text-align: center;">SCHIFF HARDIN LLP Patent Department 6600 Sears Tower, Chicago, Illinois 60606-6473</p>		
			<p>Direct Telephone Calls to: Brett A. Valiquet (312) 258-5786</p>		
2 0 4	FULL NAME OF INVENTOR	FAMILY NAME AHAMMER	FIRST GIVEN NAME Robert	SECOND GIVEN NAME	
	RESIDENCE & CITIZENSHIP	CITY Bergkirchen	STATE OR FOREIGN COUNTRY Germany	COUNTRY OF CITIZENSHIP Germany	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS Ahornweg 6	CITY 85232 Bergkirchen	STATE & ZIP CODE/COUNTRY Germany	
2 0 5	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME	
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY	
2 0 6	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME	
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY	
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.</p>					
SIGNATURE OF INVENTOR 204		SIGNATURE OF INVENTOR 205		SIGNATURE OF INVENTOR 206	
					
DATE 21. 6. 2006		DATE		DATE	

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Georg FRÖHLICH et al GROUP ART UNIT: 2876
SERIAL NO.: 10/507,406 EXAMINER: T. T. Mai
FILED: April 8, 2005 CONFIRMATION NO.: 6881
TITLE: "METHOD, DEVICE SYSTEMS AND COMPUTER PROGRAMS
FOR PRODUCING AND TRACING PRINTED DOCUMENTS
WITH A DETERMINED IDENTIFIER"

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

SIR:

STATEMENT FROM PERSON BEING ADDED AS INVENTOR

I, Robert Ahammer, requests that I be added as an inventor in this case and state that the error in inventorship occurred without deceptive intent on my part.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: 07.07.2006


ROBERT AHAMMER

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Georg FRÖHLICH et al **GROUP ART UNIT:** 2876
SERIAL NO.: 10/507,408 **EXAMINER:** T. T. Mai
FILED: April 8, 2005 **CONFIRMATION NO.:** 6881
TITLE: "METHOD, DEVICE SYSTEMS AND COMPUTER PROGRAMS
FOR PRODUCING AND TRACING PRINTED DOCUMENTS
WITH A DETERMINED IDENTIFIER"

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

S I R:


CONSENT OF ASSIGNEE TO ADDITION OF INVENTOR ROBERT AHAMMER

The assignee, OCE Printing Systems GmbH, hereby consents to the addition of Robert Ahammer as a fourth inventor to correct the inventorship in the above-identified application.

This Consent of Assignee is being signed by Hugo Schantz on behalf of the assignee. Hugo Schantz is in-house patent counsel for the assignee OCE Printing Systems GmbH, and has the authority to act on behalf of the assignee.

The assignee's ownership of this application is evidenced by the recorded Assignment, a copy of which is attached herewith as Exhibit 1.

Date: 7/7/06



HUGO SCHANTZ
In-House Patent Counsel for
OCE Printing Systems GmbH

Rec'd OCT/PTO 08 APR 2005

10/507406

FORM PTO-1595

04-26-2005

R SHEET U.S. DEPARTMENT OF COMMERCE

1/31/92



Y

Patent and Trademark Office

EXHIBIT

102988486

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Georg FRÖHLICH
Wilfried DIESLER
Ralf UBERT

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Océ Printing Systems GmbH

Internal Address: _____

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Street Address: Siemensallee 2

85586 Poing, Federal Republic of Germany

Execution Date September 26, 2004

City: _____ State: _____ Zip: _____

Additional Name(s) & Address(es) attached ☐ Yes ☒ No

4. Application (number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

P04,0353

U. S. Serial No. 10/507,406 filed September 13, 2004

"METHOD, DEVICE SYSTEMS AND COMPUTER PROGRAMS FOR PRODUCING AND TRACING PRINTED DOCUMENTS WITH A DETERMINED IDENTIFIER"

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

SCHIFF HARDIN LLP
Patent Department
6600 Sears Tower
233 S. Wacker Drive
Chicago, IL 60606-6473
Telephone: (312) 258-5500
Customer Number: 26574

6. Total number of applications and patents involved

1

7. Total Fee (37 CFR 3.41)

\$40.00

☒ Enclosed
☒ Authorized to be charged to deposit account any Additional fees

8. Deposit Account Number:

501519

(Attach duplicate copy of this page if paying by deposit account)

04/13/2005 GFREY1 00000047 10507406

02 FD:8021

(40.00 DP)

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Brett A. Valiquet (Reg. No. 27,841)

Name of Person Signing

Signature

April 8, 2005

Date

Total number of pages: 2

Mail documents to be recorded and required cover sheet information to:

Director of the United States Patent and Trademark Office
P.O. Box 1450 - Mail Stop Assignment Recordation Services
Alexandria, VA 22313-1450



RECEIVED

SEP 15 2005

SCHIFF HARDIN LLP
U.S. PATENT DEPT.

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

SEPTEMBER 01, 2005

PTAS



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SCHIFF HARDIN, LLP
PATENT DEPARTMENT
6600 SEARS TOWER
CHICAGO, IL 60606-6473

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES DIVISION, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 04/08/2005

REEL/FRAME: 016483/0610
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
FROHLICH, GEORG

DOC DATE: 09/26/2004

ASSIGNOR:
DIESLER, WILFRIED

DOC DATE: 09/26/2004

ASSIGNOR:
UBERT, RALF

DOC DATE: 09/26/2004

ASSIGNEE:
OCE PRINTING SYSTEMS GMBH
SIEMENSALLEE 2
85586 POING, GERMANY

SERIAL NUMBER: 10507406
PATENT NUMBER:

FILING DATE: 04/08/2005
ISSUE DATE:

TITLE: METHOD, DEVICE SYSTEMS AND COMPUTER PROGRAMS FOR PRODUCING AND TRACING PRINTED DOCUMENTS WITH A DETERMINATE IDENTIFIER

ASSIGNMENT

FOR a valuable consideration, the undersigned,

residing at: **GEORGE FRÖHLICH** *26.09.04*
Rosenheimer Landstrasse 125b
85521 Ottobrunn
Germany ✓

WILFRIED DIESLER
Römerstrasse 29
82131 Gauting
Germany

RALF UBERT
Zur Pointnärmühle 3a
85435 Erding
Germany

hereby sell, assign, and transfer unto Océ Printing Systems GmbH, Siemensallee 2, 85586 Poing, Germany, a German corporation, the whole right, title and interest in and to a certain invention or improvement in

"METHOD, DEVICE SYSTEMS AND COMPUTER PROGRAMS FOR PRODUCING AND TRACING PRINTED DOCUMENTS WITH A DETERMINED IDENTIFIER"

disclosed in an application for Letters Patent of the United States, prepared by the firm of Schiff Hardin LLP, Patent Department of Chicago, Illinois, and executed of even date herewith, said application being identified in the office records of said firm as Case No. P04,0353 and in our own records as Case No. 2002 0301 PUS and in and to the United States Letters Patent therefor, when issued, together with all improvements thereon and betterments thereof, all divisions, continuations and reissues thereof and substitutions of or for said application, and all rights and privileges under the Letters Patent that may be granted therefor, including the right to claim the benefit of an earlier filing date for the same invention in a foreign country.

We hereby authorize and request the Commissioner of Patents to issue the Letters Patent that may be granted for said invention or improvements to said Océ Printing Systems GmbH Siemensallee 2, 85586 Poing, Germany.

For the same consideration, we hereby agree that we will promptly communicate to the aforesaid assignee or its assigns full and complete information concerning said improvements or betterments of the inventions disclosed in said application, and will cooperate at any time upon request of said assignee or its assigns, at its expense, in the procurement of patent protection to cover the inventions herein assigned and to be assigned, including the execution of new, divisional, continuing and reissue applications; will make all rightful oaths, will testify in any proceedings in the United States Patent Office or in the Courts, and generally will do everything lawfully possible to aid said assignee, its successors, assigns and nominees to obtain, enjoy and enforce proper patent protection for the inventions embraced within the terms of this document.

DATE: 26.09.04

George Fröhlich

GEORGE FRÖHLICH *26.09.04*

DATE: 26.09.04

Wilfried Diesler

WILFRIED DIESLER *13.10.04*

DATE: 26.09.04

Ralf Ubert

RALF UBERT *13.10.04*

WITNESSES:

Rachmat
